

RATE APPLICATION FORMS
CLASS C WATER UTILITIES
SCHEDULE 1

TOTAL PLANT IN SERVICE

NEW MEXICO PUBLIC SERVICE COMMISSION

NAME OF WATER UTILITY _____

NAME OF AFFILIATED COMPANY (IF ANY) _____

TEST YEAR: _____, 19____.

<u>PLANT ITEM</u>	<u>DESCRIPTION</u>	<u>ORIGINAL COST UTILITY PLANT (FROM ANNUAL REPORT)</u>
1.	LANDS AND RIGHTS-OF-WAY	\$ _____
2.	STRUCTURES AND SITE IMPROVEMENTS	\$ _____
3.	WELLS	\$ _____
4.	PUMPING EQUIPMENT	\$ _____
5.	WATER TREATMENT EQUIPMENT	\$ _____
6.	STORAGE TANKS	\$ _____
7.	WATER MAINS (INC. VALVES, ETC.)	\$ _____
8.	METERS	\$ _____
9.	SERVICE CONNECTIONS	\$ _____
10.	OFFICE FURNITURE AND EQUIPMENT	\$ _____
11.	TRANSPORTATION EQUIPMENT	\$ _____
12.	OTHER WATER PLANT	\$ _____
13.	TOTAL PLANT IN SERVICE (TOTAL OF LINES 1-12)	\$ _____
14.	CONSTRUCTION WORK IN PROGRESS	\$ _____

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SCHEDULE 2

RATE BASE

<u>ITEM NO.</u>	<u>DESCRIPTION</u>	<u>ORIGINAL COST UTILITY PLANT (FROM ANNUAL REPORT)</u>	<u>TOTAL</u>
1.	TOTAL PLANT IN SERVICE		\$ _____
A.	<u>DEDUCTIONS FROM TOTAL PLANT IN SERVICE</u>		
2.	ACCUM. PROV. FOR DEPREC. AND AMORT.	\$ _____	
3.	CONTRIBUTIONS IN AID OF CONSTRUCTION	\$ _____	
4.	CUSTOMER ADVANCES FOR CONSTRUCTION	\$ _____	
5.	ACCUMULATED DEFERRED INVEST. TAX CREDITS	\$ _____	
6.	ACCUMULATED DEFERRED INCOME TAXES	\$ _____	
7.	TOTAL DEDUCTIONS (LINES 2+3+4+5+6)		\$ _____
B.	<u>ADDITIONS TO TOTAL PLANT IN SERVICE</u>		
8.	MATERIALS AND SUPPLIES	\$ _____	
9.	PREPAYMENTS	\$ _____	
10.	WORKING CAPITAL (REFER TO SCHEDULE 3)	\$ _____	
11.	TOTAL ADDITIONS (LINES 8+9+10)		\$ _____
12.	TOTAL RATE BASE (LINE 1-7)+11		\$ _____
13.	UTILITY PLANT ACQUISITION ADJUSTMENT (IF ANY)		\$ _____

SCHEDULE 3

COMPUTATION OF WORKING CAPITAL ALLOWANCE

1.	OPERATING AND MAINTENANCE EXPENSES FOR PERIOD	\$ _____	
2.	LESS PURCHASED POWER FOR PERIOD LESS PURCHASED WATER (IF ANY)	\$ _____	
3.	BALANCE		\$ _____
4.	1/8 OF ABOVE BALANCE		\$ _____
5.	PURCHASED POWER FOR PERIOD	\$ _____	
	PURCHASED WATER FOR PERIOD (IF ANY)	\$ _____	
6.	1/60 OF PURCHASED POWER AND PURCHASED WATER FOR PERIOD		\$ _____
7.	PETTY CASH ALLOWANCE		\$ _____
8.	TOTAL WORKING CAPITAL ALLOWANCE (LINES 4+6+7)		\$ _____

SCHEDULE 4

REVENUES, EXPENSES, TAXES

<u>ITEM</u>	<u>ACTUAL PER BOOKS (FROM ANNUAL REPORT)</u>	<u>ADJUSTMENTS</u>	<u>PROPOSED</u>
A. REVENUES:			
1. UNMETERED SERVICE	\$ _____	\$ _____	\$ _____
2. METERED SERVICE			
RESIDENTIAL	\$ _____	\$ _____	\$ _____
COMMERCIAL	\$ _____	\$ _____	\$ _____
IRRIGATION	\$ _____	\$ _____	\$ _____
OTHER	\$ _____	\$ _____	\$ _____
3. OTHER REVENUE	\$ _____	\$ _____	\$ _____
4. TOTAL REVENUES (1+2+3)			\$ _____ _____
B. EXPENSES:			
5. ELECTRIC POWER, FUEL FOR PUMPING, WATER PURCHASES	\$ _____	\$ _____	\$ _____
6. CHEMICALS FOR TREATMENT	\$ _____	\$ _____	\$ _____
7. TRANSPORTATION EXPENSES*	\$ _____	\$ _____	\$ _____
8a. SALARIES PAID TO OWNER*	\$ _____	\$ _____	\$ _____

**SCHEDULE 4
(Continued)**

8b.	TOTAL SALARIES (EXCEPT OWNER) *	\$ _____	\$ _____	\$ _____
9.	ADMINISTRATIVE AND OFFICE EXPENSES*	\$ _____	\$ _____	\$ _____
10.	MAINTENANCE AND REPAIR EXPENSES (EXCEPT SALARIES)	\$ _____	\$ _____	\$ _____
11.	ANNUAL DEPRECIATION	\$ _____	\$ _____	\$ _____
12.	TAXES OTHER THAN INCOME TAXES	\$ _____	\$ _____	\$ _____

13. INCOME TAXES:

<u>ITEM</u>	<u>ACTUAL PER BOOKS</u>	<u>ADJUSTMENTS</u>	<u>PROPOSED</u>
C. FEDERAL	\$ _____	\$ _____	\$ _____
D. STATE	\$ _____	\$ _____	\$ _____
14. ADJUSTMENTS FOR DEFERRED INVEST. TAX CREDIT	\$ (_____)	\$ (_____)	\$ (_____)
15. ADJUSTMENTS FOR DEFERRED INCOME TAXES (IF ANY)	\$ (_____)	\$ (_____)	\$ (_____)
16. TOTAL INCOME TAXES			\$ _____
17. OTHER EXPENSES *	\$ _____	\$ _____	\$ _____
18. TOTAL EXPENSES (SUM OF 5 THRU 17)			\$ _____ _____
19. GROSS INCOME OR RETURN (LINE 4 MINUS LINE 18)			\$ _____

**SCHEDULE 4
(Continued)**

20.	INTEREST ON DEBT DURING YEAR	\$ _____	\$ _____	\$ _____
21.	NET INCOME (LINE 19 MINUS LINE 20)			\$ _____
22.	RATE OF RETURN:	<u>GROSS INCOME (LINE 19)</u> RATE BASE (LINE 12, SCHEDULE 2)		_____ % (AT ORIGINAL COST)

* If this expense item includes any amounts which are litigation expenses as defined by NMSA 1978, Section 62-13-3, provide a full explanation of the nature and amount of such litigation expenses included in each line item on this schedule. Section 62-13-3 defines "litigation expenses" as "all attorneys' fees, consulting fees and other costs of litigation, including in-house expenditures." NMPUC Rule 710.4(p) defines "litigation."

SCHEDULE 5

OPERATING AND PLANT
STATISTICS REPORT

A. OPERATING STATISTICS (attach worksheet showing monthly figures for each category)	<u>END OF</u> <u>LAST YEAR</u>	<u>END OF</u> <u>THIS YEAR</u>
1. (NUMBER OF GALLONS SOLD DURING YEAR)		
A. RESIDENTIAL	_____	_____
B. COMMERCIAL	_____	_____
C. OTHER (IRRIGATION, INDUSTRIAL, ETC.)	_____	_____
2. TOTAL SALES IN GALLONS (A+B+C)	_____	_____
3. (NO. OF CUSTOMERS SERVED)		
D. RESIDENTIAL	_____	_____
E. COMMERCIAL	_____	_____
F. OTHER (IRRIGATION, INDUSTRIAL, ETC.)	_____	_____
4. TOTAL CUSTOMERS (D+E+F)	_____	_____
5. (NUMBER OF BILLINGS PER PERIOD)		
G. RESIDENTIAL	_____	_____
H. COMMERCIAL	_____	_____
I. OTHER (IRRIGATION, INDUSTRIAL, ETC.)	_____	_____
6. TOTAL BILLINGS (G+H+I)	_____	_____

SCHEDULE 5

OPERATING AND PLANT
STATISTICS REPORT
(Continued)

B. PLANT STATISTICS

- 7. NUMBER OF WELLS _____
- 8. WELL YIELD PER YEAR (GALLONS) _____
- 9. ANNUAL WATER PURCHASES
(GALLONS) _____
- 10. ANNUAL DELIVERY TO
DISTRIBUTION SYSTEM (8+9) _____

SCHEDULE 6

FINANCIAL DATA

A. COMPANY DEBT:

SOURCE AND TERM OF LOAN	PRINCIPAL AMOUNT BORROWED	INTEREST RATE	PRINCIPAL AMOUNT OUTSTANDING	
			BEGINNING OF YEAR	END OF YEAR
1. _____	\$ _____	_____ %	\$ _____	\$ _____
2. _____	\$ _____	_____ %	\$ _____	\$ _____
3. _____	\$ _____	_____ %	\$ _____	\$ _____

B. OTHER FINANCIAL DATA:

	BALANCE	
	BEGINNING OF YEAR	END OF YEAR
4. STOCK ISSUED	\$ _____	\$ _____
5. ACCUM. RETAINED EARNINGS (OR LOSSES)	\$ _____	\$ _____
6. CASH ON HAND	\$ _____	\$ _____
7. ACCOUNTS RECEIVABLE	\$ _____	\$ _____
8. ACCOUNTS PAYABLE	\$ _____	\$ _____
9. CUSTOMER DEPOSITS ON HAND	\$ _____	\$ _____
10. OTHER ASSETS OR LIABILITIES	\$ _____	\$ _____

RATE APPLICATION FORMS

CLASS D WATER UTILITIES

- 1. NAME OF WATER COMPANY:
- 2. NAME OF OWNER(S) IF DIFFERENT FROM (1):
- 3. BUSINESS MAILING ADDRESS:
- 4. BUSINESS TELEPHONE NUMBERS:
- 5. YEAR UTILITY BEGAN OPERATIONS:
- 6. HAS ANNUAL REPORT BEEN FILED WITH THE PUBLIC SERVICE COMMISSION FOR THE IMMEDIATE PAST YEAR?
- 7. WERE ANNUAL REPORTS FILED WITH THE COMMISSION FOR EACH OF THE TWO (2) PRIOR YEARS?
- 8. CASE NUMBER OF LAST RATE INCREASE CASE:
- 9. NAME, TITLE, ADDRESS, AND TELEPHONE NUMBER OF THE PERSON TO WHOM CORRESPONDENCE OR COMMUNICATIONS CONCERNING THIS APPLICATION SHOULD BE DIRECTED.
- 10. LIST YOUR PRESENT AND PROPOSED RATE STRUCTURE BY CLASS OF CUSTOMER. LIST THE PERCENTAGE OF INCREASE FOR EACH SUCH CLASS:

PRESENT RATES (in dollars)	PROPOSED RATES (in dollars)	% INCREASE
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- 11. TOTAL NUMBER OF CUSTOMERS:
- 12. TOTAL AMOUNT OF INCREASED REVENUE REQUESTED.

TEST YEAR COST OF SERVICE
12 MONTHS ENDED, DECEMBER 31, 19__

Line No.	Item Description	Unadjusted Book Balance For Latest Annual Report to PSC [Note 1]	Adjustments To Book Balances		Proposed Cost of Service
			Amount	Item Reference [Note 2]	
	Revenues				
1	Unmetered Service (Acct. 460)				
2	Metered Service (Acct. 461)				
3	Other Revenue (Acct. 464)				
4	Total Revenue (Sum of Lines 1 through 3)				
	Expenses				
5	Pumping & Fuel Cost (Acct. 622)				
6	Chemicals (Acct. 631)				
7	Transportation (Acct. 633)				
8	Salaries & Wages (Acct. 920.1, 920.2)				
9	Administrative & Office (Acct. 921)				
10	Maintenance & Repairs (Acct. 922)				
11	Depreciation (Acct. 403)				
12	Taxes on Other Than Income (Acct. 406)				
13	Income Taxes: (Acct. 409)				
a	Federal				
b	State				
14	Other Expenses (Acct. 923, 924, 926, 928 & 930)				
15	Total Operating Expenses (Sum of Lines 5 thru 14)				
16	Gross Income of Return (Line 4 minus Line 15)				
17	Less Interest (Acct. 427, 431)				
18	Net Income				
19	Proposed Return				
20	Return Deficiency (Line 19 minus Line 16)				
21	Total Cost of Service (Sum of Lines 15 and 19)				

Notes: 1. The balance appearing in this column must agree Item by Item with the balances reported by the applicant in its last filed Annual Report to the NMPSC.

2. The explanation, and where necessary, the computation for each adjustment shall be shown by Item and Line number on Schedule 2.

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COST OF CAPITAL OUTSTANDING
12 MONTHS ENDED, DECEMBER 31, 19__

Line No.	Class of Capital	Amount Outstanding [Note 1]	Interest Rate or Allowance [Note 2]	Weighted Average Cost
	(1)	(2)		
	Borrowed Capital			
1				
2				
3				
4	Total			
	Equity Capital			
5	Common Stock			
6	Retained Earnings			
7	Total			
8	Total Capital			

- Notes:**
1. The balances appearing in this column must agree with the balances reported by the applicant in its last filed Annual Report to the NMPSC.
 2. The interest rate on borrowed capital is the annual percentage rate charged.
 3. The applicable rate on equity capital is derivable from an existing yield or business investment.

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**KNOWN AND MEASURABLE CHANGES IN EXPENSES
AND REVENUES SINCE LAST ANNUAL REPORT**

DESCRIBE EACH ADJUSTED ITEM FROM SCHEDULE 1 AND EXPLAIN WHY EACH CHANGE HAS OCCURRED. ATTACH ANY NECESSARY INVOICES, LETTER, CONTRACTS, ETC., WHICH WILL SUPPORT EACH CHANGE. SHOW COMPUTATIONS WHEREVER NECESSARY.

SCHEDULE 4

BILLING ANALYSIS

CUSTOMERS BILLED IN EACH CATEGORY
(BY NUMBER)

<u>CATEGORIES OF USAGE (IN GALLONS)</u>	<u>HIGHEST MONTH</u>	<u>LOWEST MONTH</u>	<u>IN-BETWEEN MONTH</u>	<u>TOTAL IN EACH CATEGORY</u>
0 - 1000				
1000 - 2000				
2000 - 3000				
3000 - 4000				
4000 - 5000				
5000 - 6000				
6000 - 7000				
7000 - 8000				
8000 - 9000				
9000 - 10,000				
"				
"				
ADD MORE CATEGORIES AS NECESSARY				
"				
"				
"				

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**RATE APPLICATION FORMS
CLASS C WATER UTILITIES
SCHEDULE 1**

TOTAL PLANT IN SERVICE

NEW MEXICO PUBLIC SERVICE COMMISSION

NAME OF WATER UTILITY _____

NAME OF AFFILIATED COMPANY (IF ANY) _____

TEST YEAR: _____, 19____.

<u>PLANT ITEM</u>	<u>DESCRIPTION</u>	<u>ORIGINAL COST UTILITY PLANT (FROM ANNUAL REPORT)</u>
1.	LANDS AND RIGHTS-OF-WAY	\$ _____
2.	STRUCTURES AND SITE IMPROVEMENTS	\$ _____
3.	WELLS	\$ _____
4.	PUMPING EQUIPMENT	\$ _____
5.	WATER TREATMENT EQUIPMENT	\$ _____
6.	STORAGE TANKS	\$ _____
7.	WATER MAINS (INC. VALVES, ETC.)	\$ _____
8.	METERS	\$ _____
9.	SERVICE CONNECTIONS	\$ _____
10.	OFFICE FURNITURE AND EQUIPMENT	\$ _____
11.	TRANSPORTATION EQUIPMENT	\$ _____
12.	OTHER WATER PLANT	\$ _____
13.	TOTAL PLANT IN SERVICE (TOTAL OF LINES 1-12)	\$ _____
14.	CONSTRUCTION WORK IN PROGRESS	\$ _____

SCHEDULE 2

NET PLANT IN SERVICE

<u>ITEM NO.</u>	<u>DESCRIPTION</u>	<u>ORIGINAL COST UTILITY PLANT</u> (From Annual Report)	<u>TOTAL</u>
1.	TOTAL PLANT IN SERVICE		\$ _____
A.	<u>DEDUCTIONS FROM TOTAL PLANT IN SERVICE</u>		
2.	ACCUM. PROV. FOR DEPREC. AND AMORT.	\$ _____	
3.	CONTRIBUTIONS IN AID OF CONSTRUCTION	\$ _____	
4.	CUSTOMER ADVANCES FOR CONSTRUCTION	\$ _____	
5.	ACCUMULATED DEFERRED INVEST. TAX CREDITS	\$ _____	
6.	ACCUMULATED DEFERRED INCOME TAXES	\$ _____	
7.	TOTAL DEDUCTIONS (LINES 2+3+4+5+6)		\$ _____
B.	<u>ADDITIONS TO TOTAL PLANT IN SERVICE</u>		
8.	MATERIALS AND SUPPLIES	\$ _____	
9.	PREPAYMENTS	\$ _____	
10.	WORKING CAPITAL (REFER TO SCHEDULE 3)	\$ _____	
11.	TOTAL ADDITIONS (LINES 8+9+10)		\$ _____
12.	TOTAL RATE BASE (LINE 1-7+11)		\$ _____
13.	UTILITY PLANT ACQUISITION ADJUSTMENT (IF ANY)		\$ _____

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SCHEDULE 3

COMPUTATION OF WORKING CAPITAL ALLOWANCE

- 1. OPERATING AND MAINTENANCE EXPENSES FOR PERIOD \$ _____
- 2. LESS PURCHASED POWER FOR PERIOD LESS PURCHASED WATER (IF ANY) \$ _____
- 3. BALANCE \$ _____
- 4. 1/8 OF ABOVE BALANCE \$ _____
- 5. PURCHASED POWER FOR PERIOD \$ _____
PURCHASED WATER FOR PERIOD (IF ANY) \$ _____
(IF ANY)
- 6. 1/60 OF PURCHASED POWER AND PURCHASED WATER FOR PERIOD \$ _____
- 7. PETTY CASH ALLOWANCE \$ _____
- 8. TOTAL WORKING CAPITAL ALLOWANCE (LINES 4+6+7) \$ _____

SCHEDULE 4

REVENUES, EXPENSES, TAXES

<u>ITEM</u>	<u>ACTUAL PER BOOKS</u> (FROM ANNUAL REPORT)	<u>ADJUSTMENTS</u>	<u>PROPOSED</u>
A. REVENUES:			
1. UNMETERED SERVICE	\$ _____	\$ _____	\$ _____
2. METERED SERVICE			
RESIDENTIAL	\$ _____	\$ _____	\$ _____
COMMERCIAL	\$ _____	\$ _____	\$ _____
IRRIGATION	\$ _____	\$ _____	\$ _____
OTHER	\$ _____	\$ _____	\$ _____
3. OTHER REVENUE	\$ _____	\$ _____	\$ _____
4. TOTAL REVENUES (1+2+3)			\$ _____
B. EXPENSES			
5. ELECTRIC POWER, FUEL FOR PUMPING, WATER PURCHASES	\$ _____	\$ _____	\$ _____
6. CHEMICALS FOR TREATMENT	\$ _____	\$ _____	\$ _____
7. TRANSPORTATION EXPENSES	\$ _____	\$ _____	\$ _____
8a. SALARIES PAID TO OWNER	\$ _____	\$ _____	\$ _____

**SCHEDULE 4
(Continued)**

- 8b. TOTAL SALARIES (EXCEPT OWNER) \$ _____ \$ _____ \$ _____
- 9. ADMINISTRATIVE AND OFFICE EXPENSES \$ _____ \$ _____ \$ _____
- 10. MAINTENANCE AND REPAIR EXPENSES (EXCEPT SALARIES) \$ _____ \$ _____ \$ _____
- 11. ANNUAL DEPRECIATION \$ _____ \$ _____ \$ _____
- 12. TAXES OTHER THAN INCOME TAXES \$ _____ \$ _____ \$ _____
- 13. INCOME TAXES:

<u>ITEM</u>	<u>ACTUAL PER BOOKS</u>	<u>ADJUSTMENTS</u>	<u>PROPOSED</u>
C. FEDERAL	\$ _____	\$ _____	\$ _____
D. STATE	\$ _____	\$ _____	\$ _____
14. ADJUSTMENTS FOR DEFERRED INVEST. TAX CREDIT	\$(_____)	\$(_____)	\$(_____)
15. ADJUSTMENTS FOR DEFERRED INCOME TAXES (IF ANY)	\$(_____)	\$(_____)	\$(_____)
16. TOTAL INCOME TAXES			\$ _____
17. OTHER EXPENSES	\$ _____	\$ _____	\$ _____
18. TOTAL EXPENSES (SUM OF 5 THRU 17)			\$
19. GROSS INCOME OR RETURN (LINE 4 MINUS LINE 18)			\$ _____

**SCHEDULE 4
(Continued)**

20. INTEREST ON DEBT
DURING YEAR \$ _____ \$ _____ \$ _____

21. NET INCOME
(LINE 19 MINUS LINE 20) \$ _____

22. RATE OF RETURN:

GROSS INCOME (LINE 19) % (AT
NET UTILITY PLANT-IN-SERVICE ORIGINAL
(LINE 12, SCHEDULE 2) COST)