

**APPENDIX H
 AGREEMENT SETTLEMENT FORM
 DOT/Passenger Transportation
 D.O.T. SECTION 9
 URBAN TRANSPORTATION PROGRAM
 DEFICIT FUNDING
 Sample Community Agency
 Fiscal Year Ending:**

CFDA#:

Project Name/No:

PIN#

Agreement Period:

Total Operating Costs	\$
Less:	
Fares	_____
Net Operating Deficit	<u>\$</u>

Revenue:	
Federal	\$
State	\$
Local	\$
Total Revenues	<u>\$</u>
Surplus/Deficit	<u>\$</u>

Federal Participating Share

1. Amount of Non-Federal Funds Contributed:	
State	\$
Local	\$
Total Non-Fed	\$ _____
2. 50% of the net Project Costs:	
Federal Share @ 50%	\$
3. Operating Deficit After Adding Local Funds But Before Adding UMTA Funds	
Operating Costs	\$
Less:	
Fares	\$
State	\$
Local	\$
Deficit Before UMTA	\$ _____
4. Maximum Amount of Grant	
Federal Share Paid	\$
Participating Share (Steps 1-4)	\$ _____
Federal Over (Under) Paid	<u>\$</u>

State Participation Share

Max Authorized Amount	\$
State Share @ 50%	\$
State Share Paid	\$ _____
State Over (Under) Paid	<u>\$</u>

Conclusion:

Purpose:

To determine whether the federal share of operating costs complies with federal requirements. Participating shares paid can be no greater than the smallest of the following:

1. The amount of non-federal funds contributed;
2. 50% of the net project costs;
3. The operating deficit after adding local funds but before adding UMTA funds, or;
4. The maximum amount of the grant.

State Funds from MDOT cannot exceed the amount authorized, and in no event exceed 50% of the

AGREEMENT SETTLEMENT FORM
DOT/Passenger Transportation
D.O.T. SECTION #
RURAL TRANSPORTATION PROGRAM
DEFICIT FUNDING

Sample Community Agency
Fiscal year Ending:

CFDA#:
Project Name/No:
PIN#
Agreement Period:

	Operating	Admin	Capital	Total
Gross Expenditures	\$ _____	\$ _____	\$ _____	\$ _____
Less:				
Contra-Expenditures	\$ _____	\$ _____	\$ _____	\$ _____
Operating Revenue	\$ _____	\$ _____	\$ _____	\$ _____
Excess Unrestricted	\$ _____	\$ _____	\$ _____	\$ _____
Fed	\$ _____	\$ _____	\$ _____	\$ _____
Total Deductions	\$ _____	\$ _____	\$ _____	\$ _____
Net Expenditures/Deficit	_____ %	_____ %	_____ %	
<u>Federal Share</u>				
Maximum %	\$ _____	\$ _____	\$ _____	\$ _____
Amount Paid	\$ _____	\$ _____	\$ _____	\$ _____
Maximum Amount	\$ _____	\$ _____	\$ _____	\$ _____
Over (Under) Maximum	_____ %	_____ %	_____ %	
<u>State Share</u>				
Maximum %	\$ _____	\$ _____	\$ _____	\$ _____
Amount Paid	\$ _____	\$ _____	\$ _____	\$ _____
Maximum Amount	\$ _____	\$ _____	\$ _____	\$ _____
Over (Under) Maximum	_____ %	_____ %	_____ %	
<u>Summary:</u>				
Federal Over Paid	\$ _____	\$ _____	\$ _____	\$ _____
State Over Paid	\$ _____	\$ _____	\$ _____	\$ _____
Total Over Paid	\$ _____	\$ _____	\$ _____	\$ _____

Note: This form is used for all funds other than Section 9 - Urban Transportation Program Deficit Funding. Including, but not limited to: Section 3, 16, or 18 funds; JARC, Reverse Commute...

**AGREEMENT SETTLEMENT FORM
DOT/Passenger Transportation
LEASE AGREEMENTS**

**Sample Community Agency
Fiscal Year Ending:**

CFDA#:
Project Name/No:
PIN#
Agreement Period:

	CAPITAL	TOTAL
Gross Expenditure	\$	\$
Less:		
Local Share	\$	\$
	_____	_____
Net Expenditures/Deficit	\$	\$
Federal Participating Share		
Maximum %		
Amount Paid	\$	\$
Maximum Amount	\$	\$
Over(Under) Maximum	\$	\$
	_____	_____
State Participating Share		
Maximum %		
Amount Paid	\$	\$
Maximum Amount	\$	\$
Over(Under) Maximum	\$	\$
	_____	_____
Summary		
Federal Over(Under) Paid	\$	\$
State Over(Under) Paid	\$	\$
Total Over(Under) Paid	\$	\$
	_____	_____

Notes

