

APPENDIX E  
AGREEMENT COMPLIANCE FORM

This section identifies compliance requirements that must be considered in audits of agreements between the Department and a Community Agency. Below is a summary of required compliance tests as well as sections within the agreement award relevant to such testing. Failure to comply with any of these areas could lead to material deficiencies.

<b>X</b>	Review the <b>Federal</b> compliance requirements specific to the following CFDA identifiers:			
	CFDA #	93.710	CFDA #	CFDA #
	OMB A-133 Compliance Supplement located at <a href="http://www.whitehouse.gov/omb/circulars">www.whitehouse.gov/omb/circulars</a>			
	and review all the State compliance requirements listed below that apply to Federal Funds.			
<b>X</b>	Review the <b>State</b> compliance requirements in applicable areas specified below:			
<b>X</b>	1.) <b>INTERNAL CONTROL</b>			
<b>X</b>	2.) <b>STANDARD ADMINISTRATIVE PRACTICES</b>			
	A.	OMB A-110/Common Rule		B.
		General		Department Additions
		Pre-award Requirements		
		Financial and Program Management		Standards for Bonding
		Property Standards		Program Budget
		Procurement Standards		
		Reports and Records		
		Termination and Enforcement		
		After the Award Requirements		
<b>X</b>	3.) <b>ACTIVITIES ALLOWED OR UNALLOWED</b>			<i>Contract</i>
<b>X</b>	4.) <b>ALLOWABLE COSTS/COST PRINCIPLES</b>			
	<b>X</b>	OMB A-122	OMB A-87	OMB A-21
<b>X</b>	5.) <b>CASH MANAGEMENT</b>			<i>OMB Circular A-110, § __.22</i>
<b>X</b>	6.) <b>ELIGIBILITY</b>			<i>Contract</i>
<b>X</b>	7.) <b>EQUIPMENT AND REAL PROPERTY MANAGEMENT</b>			<i>OMB Circular A-110, § __.34</i>
<b>X</b>	8.) <b>MATCHING, LEVEL OF EFFORT, EARMARKING</b>			<i>Contract</i>
<b>X</b>	9.) <b>PERIOD OF AVAILABILITY OF FUNDS</b>			<i>OMB Circular A-110, § __.28</i>
<b>X</b>	10.) <b>PROCUREMENT AND SUSPENSION AND DEBARMENT</b>			<i>OMB Circular A-110, § __.40-48</i>
<b>X</b>	11.) <b>PROGRAM INCOME</b>			<i>OMB Circular A-110, § __.24</i>
<b>X</b>	12.) <b>REPORTING</b>			<i>Contract</i>
<b>X</b>	13.) <b>SUBRECIPIENT MONITORING</b>			<i>OMB Circular A-110, § __.51 and OMB Circular A-133, § __.400(d)</i>
<b>X</b>	14.) <b>SPECIAL TESTS AND PROVISIONS</b>			<i>Contract</i>
<b>X</b>	15.) <b>AGREEMENT SETTLEMENT:</b>		<b>COST SHARING</b>	<b>X</b>
	(Check all that are applicable)		<b>FEE FOR SERVICE</b>	
			<b>UNIT COST</b>	
			<b>LINE ITEM EXPENSE</b>	
			<b>OTHER (specify):</b>	